

Legal Notices

CATERPILLAR GLOBAL DATA PRIVACY STATEMENT

Caterpillar Global Data Privacy Statement

Effective Date: May 25, 2018 - Updated: December 18, 2019

APPENDIX 1. NON-HUMAN RESOURCES DATA SUBJECTS EEA

This Appendix to the Caterpillar Global Data Privacy Statement provides additional information about how Caterpillar collects, stores, uses, transfers and otherwise processes personal information (including special categories of personal information) in or from certain countries in the European Economic Area (“**EEA**”). This Appendix is applicable to individuals who do not have an employee / human resources relationship with Caterpillar. For more information please see Caterpillar’s Global Data Privacy Statement. For more information on how Caterpillar collects and processes non-personal information, please see Caterpillar’s Global Data Governance Statement.

If you were referred to this EEA Appendix from an agreement you have with Caterpillar, then the data controller for the personal information that is being processed in connection with that agreement will be the legal entity referred to in that agreement. For the purpose of this EEA Appendix, the relevant controllers are listed in **Schedule A** to Caterpillar’s Global Privacy Statement in the table identified as “Caterpillar Data Controllers.”

Section 1. What Is The Purpose Of This Appendix?

Caterpillar is committed to protecting the privacy and security of your personal information. This EEA Appendix describes how we collect, store, use, and transfer personal information about you during and after your relationship with us, in accordance with the General Data Protection Regulation (“**GDPR**”). As Data Controller, we are required under data protection legislation to notify you of the information contained in this EEA Appendix.

Section 2. What Sensitive Personal Information Could Caterpillar Collect And Process?

Sensitive personal information (also known as special categories of information) requires a higher level of protection. This is personal information which reveals or contains: racial or ethnic origin, political opinions, religious and philosophical beliefs, trade union membership, genetic data, biometric data, health data, sex life or sexual orientation.

We may collect, store and use the following, which may include or be considered “special categories” of information (as defined above):

- Background Information (e.g., marital status, dependent information, ethnicity and/or nationality, credit and criminal background checks, and drug and alcohol testing); and
- Biometric Information (e.g., thumbprints).

Section 3. Why Does Caterpillar Use Your Personal Information?

We need all the categories of information collected primarily to allow us to manage our relationship with you in order to operate our business and to enable us to comply with our legal and regulatory obligations. In some cases, we may use your personal information to pursue legitimate interests of our own or those of third parties, provided your interests and fundamental rights do not override those interests.

The reasons for which we, or a contracted third party, will process your personal information are listed in **Table 1** below.

Some of those purposes for processing will overlap and there may be several purposes which justify our use of your personal information.

Table 1. Categories, Purpose, and Legal Bases of Processing: Non-Human Resources Data

Data Subjects	Categories of Personal Data	Purposes of Processing	Legal Basis for Processing
Customers and Suppliers	<ul style="list-style-type: none"> • Contact Information (e.g., name, address, telephone number, company name) • Billing information (financial accounts data, invoices receipt, residence information) • Client relationship Management Information • Electronic identification information (e.g., email), system usage and preferences 	<ul style="list-style-type: none"> • Facilitate communications • Evaluation and potentially entering into a business relationship or contract, including potentially preparing bids (responses to bids) and other requests that relate to the potential business • To facilitate financial transactions and management; Audit • Fulfilling our compliance obligations (e.g., anti-money laundering, screening against sanctions lists) • Management of our IT systems and to provide services 	<ul style="list-style-type: none"> • Performance of a contract • Comply with legal requirements • Legitimate interest (to manage the relationship)
Customers	<ul style="list-style-type: none"> • Contact Information (e.g., name, address, telephone number, company name) • Billing information • Credit worthiness • Electronic identification information (e.g., email), system usage and preferences 	<ul style="list-style-type: none"> • Repair and Warranty Information • Conducting credit reference checks and financial due diligence • Performing customer satisfaction survey 	<ul style="list-style-type: none"> • Performance of a contract • Legitimate interest (to receive feedback on customers experiences)
Subscribers to our newsletters or other marketing activities	<ul style="list-style-type: none"> • Contact Information (e.g., name, address, telephone number, company name) • Electronic identification information (e.g., email) and preferences 	<ul style="list-style-type: none"> • To inform you about our activities, sending you our newsletter, sending you our reports, share exciting new information about our products 	<ul style="list-style-type: none"> • Legitimate interest (communicating about related products or services) • Consent

Section 4. What Are Caterpillar's Lawful Bases For Processing Your Personal Information?

We will only use your personal information when the law allows us to. Most commonly, we will rely on one or more of the following bases for processing your personal information:

- Where it is necessary in order to comply with a legal or regulatory obligation.
- Where it is necessary for our legitimate interests (or those of a third party) and your interests and fundamental rights do not override those interests.
- Where it is necessary for the performance of a contract.

We may also use your personal information in the following situations, which are likely to be rare:

- Where we need to protect your interests (or someone else's interests).
- Where it is needed in the public interest or for official purposes.
- Where we have obtained your prior consent (this is only used in relation to voluntary processing and is not used for processing that is necessary or obligatory).

The legal grounds we rely on to process your personal information are listed in **Table 1**.

Section 5. What Are Special Categories Of Personal Information Used For At Caterpillar?

We will use your special categories of personal information for the following purposes:

- Information related to biometric data, to identify or authenticate users and for access control purposes.
- Background check information, to evaluate and select individuals with whom Caterpillar is working.

We may process special categories of personal information (as defined above) because we have a lawful basis for doing so (as set out above) and because in limited circumstances, we have your explicit written consent.

Less commonly, we may process this type of information where it is needed in relation to legal claims or where it is needed to protect your interests (or someone else's interests) and you are not capable of giving your consent, or where you have already made the information public. We may also process such information in the course of legitimate business activities with the appropriate safeguards.

Section 6. When Is Automated Decision Making Used?

Caterpillar does not regularly and systematically perform automated decision making producing a legal effect concerning individuals or that would have a similarly significant effect. In the event that you are interacting with a Caterpillar company that is performing such automated decision making you should receive a specific notice that outlines the details of the automated decision making.

Under European data protection laws (and subject to the manner in which they and other laws and regulations apply to and must be complied with by Caterpillar), you have the right to object to automated decision making using your personal information.

Section 7. Does Caterpillar Need Your Consent?

In limited circumstances, we may approach you for your written consent to allow us to process certain particularly sensitive data. If we do so, we will provide you with details of the information that we would like and the reason we need it, so that you can carefully consider whether you wish to consent. We also rely on consent in other situations as identified in **Table 1**.

You should be aware that it is not a condition of your contract with us that you agree to any request for consent from us.

Section 8. What If You Fail To Provide Your Personal Information?

If you fail to provide certain information when requested, we may not be able to perform the contract we have entered into with you (such as paying you or providing you with a product), or we may be prevented from complying with our legal or regulatory obligations.

Section 9. What If The Purpose For Which Caterpillar Collected The Personal Information Changes?

We will only use your personal information for the purposes for which we collected it, unless we reasonably consider that we need to use it for another reason and that reason is compatible with the original purpose. If we need to use your personal information for an unrelated purpose, we will notify you and we will explain the legal basis which allows us to do so.

Please note that we may process your personal information without your knowledge or consent, in compliance with the above rules, where this is required or permitted by law.

Section 10. Will Caterpillar Share Your Personal Information?

We may have to share your data with Third Parties (as defined below), including third-party service providers and Caterpillar.

We may transfer your personal information outside the European Union (“EU”). If we do, you can expect a similar degree of protection with respect to your personal information.

Section 11. Which Third Parties May Process Your Personal Information?

“Third Parties” includes third party service providers (including contractors, designated agents, insurers and insurance brokers) and other entities of Caterpillar. Examples include:

- Vendors, service providers, and other partners who support our business, such as providing technical infrastructure services;
- Law enforcement or other government agencies;
- Subsidiaries, affiliates and other entities controlled by Caterpillar;
- Other third-party service providers that process personal information on behalf of Caterpillar; and
- An acquiring entity (or an entity that is interested in acquiring) in the event that Caterpillar divests (or is considering divesting) a portion of its business.

Section 12. When Might Caterpillar Share Your Personal Information Within Caterpillar?

We will share your personal information within Caterpillar as part of our regular business and reporting activities, in the context of a business reorganization or group restructuring exercise, for system maintenance support, for hosting of data and for other legitimate business reasons.

Section 13. Why Might Caterpillar Share Your Personal Information With Third Parties?

We will share your personal information with Third Parties for the following reasons:

- In order to comply with a legal or regulatory obligation, where such disclosure is required by a regulatory or supervisory authority, the police or a court of competent jurisdiction;
- Where it is necessary to administer the contract with or for you;
- For the purposes of auditing, insuring and in the course of seeking advice with regards to our business operations and claims handling; and
- Where we have another legitimate interest in doing so.

Section 14. When Would Caterpillar Transfer Personal Information Outside Of The EU?

The personal information that we collect about you may be transferred to, and stored at, one or more countries outside the European Economic Area (“EEA”). It may also be processed by staff operating outside the EEA who work for Caterpillar or for our third-party service providers. In such cases, we will take appropriate steps to ensure an adequate level of data protection of the recipient as required under the GDPR and as described in this document. If we cannot ensure such an adequate level of data protection, your personal data will only be transferred outside the EEA if you have given your prior consent to the transfer.

Section 15. What Security Measures Are In Place To Protect Personal Information?

We have put in place appropriate security measures to protect the security of your personal information and provide the appropriate level of security for the risk, including measures designed to prevent your personal information from being accidentally lost, used or accessed in an unauthorized way, altered, or disclosed. Details of those measures are available on request. In addition, we limit access to your personal information to those employees, agents, contractors and other third parties who have a business need to know.

We have put in place procedures to deal with any suspected data security breach and will notify you and any applicable regulator of a suspected breach where we are legally required to do so.

Section 16. How Long Will Caterpillar Retain Personal Information?

We will only retain your personal information for as long as necessary to fulfill the purposes for which it was collected, including for the purposes of satisfying any legal, regulatory, accounting, or reporting requirements. Details of retention periods for different aspects of your personal information are available in our data retention policy. To determine the appropriate retention period for personal information, we consider the amount, nature, and sensitivity of the personal information, the potential risk of harm from unauthorized use or disclosure of your personal information, the purposes for which we process your personal data and whether we can achieve those purposes through other means, and the applicable legal requirements.

Section 17. What Is Your Duty To Inform Caterpillar Of Changes To Your Personal Information?

It is important that the personal information we hold about you is accurate and current. Please contact your Caterpillar contact or email dataprivacy@cat.com for informing us of any necessary changes to your personal information that we possess.

Section 18. What Are Your Rights Related To Your Personal Information?

Under certain circumstances, by law you have the right to:

- **Request access** to your personal information (commonly known as a “data subject access request”). This enables you to receive a copy of the personal information we hold about you and to check that we are lawfully processing it.
- **Request correction** of the personal information that we hold about you. This enables you to have any incomplete or inaccurate personal information we hold about you corrected.
- **Request erasure** of your personal information. This enables you to ask us to delete or remove personal information where there is no good reason for us continuing to process it. You also have the right to ask us to delete or remove your personal information where you have exercised your right to object to processing (see below).
- **Object to processing** of your personal information where we are relying on a legitimate interest (or those of a third party) and there is something about your particular situation which makes you want to object to processing on this ground. You also have the right to object where we are processing your personal information for direct marketing purposes.
- **Request the restriction of processing** of your personal information. This enables you to ask us to suspend the processing of personal information about you, for example if you want us to establish its accuracy or the reason for processing it.
- **Request the transfer** of your personal information to another party.
- **Lodge a complaint** with the applicable supervisory authority with regard to our processing of your personal information.

If you want to review, verify, correct or request erasure of your personal information, object to or restrict the processing of your personal information, or request that we transfer a copy of your personal information to another party, please contact dataprivacy@cat.com for the proper procedure.

You will not have to pay a fee to access your personal information (or to exercise any of the other rights). However, we may charge a reasonable fee if your request for access is clearly unfounded or excessive. Alternatively, we may refuse to comply with the request in such circumstances.

Section 19. What Might Caterpillar Need From You?

We may need to request specific information from you to help us confirm your identity and ensure your right to access the personal information (or to exercise any of your other rights). This is another appropriate security measure to ensure that personal information is not disclosed to any person who has no right to receive it.

Section 20. What Is Your Right To Withdraw Consent?

In the circumstances where you may have provided your consent to the collection, processing and transfer of your personal information for a specific purpose, you have the right to withdraw your consent for that specific processing at any time. To withdraw your consent, please contact dataprivacy@cat.com or use any unsubscribe options we may provide you in the context of our processing activities. Once we have received notification that you have withdrawn your consent, we will no longer process your personal information for the purpose or purposes you originally agreed to, and unless we have another lawful basis for continuing to process your personal information, we will dispose of it securely.

Section 21. What If There Are Changes To This Document?

We reserve the right to update this Global Privacy Statement and this appendix at any time, and we will provide you with updated documents when we make any substantial updates. We may also notify you in other ways from time to time about the processing of your personal information.

[END Appendix 1. Non-Human Resources Data Subjects EEA]

APPENDIX 2. HUMAN RESOURCES DATA SUBJECTS EEA

This Appendix to the Caterpillar Global Data Privacy Statement provides additional information about how Caterpillar collects, stores, uses, transfers and otherwise processes personal information (including special categories of personal information) in or from certain countries in the European Economic Area (“**EEA**”). This Appendix is applicable to individuals who have an employee / human resources relationship with Caterpillar. For more information, please see Caterpillar’s Global Privacy Statement.

If you were referred to this EEA Appendix from an agreement you have with Caterpillar, then the data controller for the personal information that is being processed in connection with that agreement will be the legal entity referred to in that agreement. For the purpose of this EEA Appendix, the relevant controllers are listed in **Schedule A** to Caterpillar’s Global Privacy Statement in the table identified as “Caterpillar Data Controllers.”

Section 1. What Is The Purpose Of This Appendix?

Caterpillar is committed to protecting the privacy and security of your personal information. This EEA Appendix describes how we collect, store, use, and transfer personal information about you during and after your relationship with us, in accordance with the General Data Protection Regulation (“**GDPR**”). As Data Controller, we are required under data protection legislation to notify you of the information contained in this EEA Appendix.

Section 2. What Sensitive Personal Information Could Caterpillar Collect And Process?

Sensitive personal information (also known as special categories of information) requires a higher level of protection. This is personal information which reveals or contains: racial or ethnic origin, political opinions, religious and philosophical beliefs, trade union membership, genetic data, biometric data, health data, sex life or sexual orientation.

We may collect, store and use the following, which may include or be considered “special categories” of information (as defined above):

- Health Information (e.g., prescription records, benefit claims, and the Explanation of Benefits sent in connection with claims) related to, among other things, physical or mental health, disability status, sickness absence, and family related leaves;
- Background Information (e.g., marital status, dependent information, ethnicity and/or nationality, credit and criminal background checks, and drug and alcohol testing);

- Biometric Information (e.g., thumbprints); and
- Trade Union affiliation.

Section 3. Why Does Caterpillar Use Your Personal Information?

Personal information is vital to our management and administration of employment relationships. We need all the categories of personal information collected primarily to allow us to manage our relationship with you and to enable us to comply with our legal and regulatory obligations. In some cases, we may use your personal information to pursue legitimate interests of our own or those of third parties, provided your interests and fundamental rights do not override those interests.

The reasons for which we, or a contracted third party, will process your personal information are listed in **Table 1** below.

Some of those purposes for processing will overlap and there may be several purposes which justify our use of your personal information.

Table 1. Categories, Purpose, and Legal Bases of Processing: Human Resources Data

Categories of Personal Data	Purposes of Processing	Legal Basis for Processing
Contact Information (e.g., name, address, telephone number, emergency contact(s) information)	<ul style="list-style-type: none"> • Communicate with employees • Facilitate benefits • Maintain accountability of business records 	<ul style="list-style-type: none"> • Performance of a contract • Legitimate interest (to manage and communicate with the workforce)
Identification and verification information (including national/taxpayer identification numbers and work permit status)	<ul style="list-style-type: none"> • Meet compliance obligations • Enable physical or remote access to Caterpillar facilities or systems • Communicate with employees • Facilitate benefits 	<ul style="list-style-type: none"> • Performance of a contract • To comply with a legal obligation • Legitimate interest (to manage and communicate with the workforce)
Financial Account Information	<ul style="list-style-type: none"> • Communicate with employees • Facilitate salary and benefits 	<ul style="list-style-type: none"> • Performance of a contract • To comply with a legal obligation • Legitimate interest (to manage and communicate with the workforce)
Automotive Information (e.g., driving history, vehicle registration, driver's license number)	<ul style="list-style-type: none"> • Facilitate access to Caterpillar facilities (e.g., parking) • Manage company vehicles 	<ul style="list-style-type: none"> • Performance of a contract • Legitimate interest (to facilitate access and management of

Caterpillar facilities and equipment)

Professional/Applicant Qualifications Information (e.g., work experience, education, reference information)	<ul style="list-style-type: none">• Evaluate and select individuals for a role within Caterpillar	<ul style="list-style-type: none">• Legitimate interest (to manage the workforce)
General HR records	<ul style="list-style-type: none">• Management purposes• Verification purposes, necessary as evidence in the event of any query/dispute and for the provision of references• Conduct analytics studies to review and better understand employee retention and attrition rates• Managing education, training and development	<ul style="list-style-type: none">• Performance of a contract• To comply with a legal obligation• Legitimate interest (to manage the workforce)
Employment-related Information (e.g., work history, performance appraisals, goals, attendance, work absences)	<ul style="list-style-type: none">• Monitoring and evaluation of performance to support decisions (e.g., salary increases, incentives and promotion)	<ul style="list-style-type: none">• Legitimate interest (to manage the workforce)
Health & Safety (e.g., safety incidents)	<ul style="list-style-type: none">• Manage health and safety at facilities	<ul style="list-style-type: none">• To comply with a legal obligation• To protect the vital interests• Legitimate interest (to maintain safety)
Personal data obtained from monitoring or an investigation	<ul style="list-style-type: none">• Prevention and detection of crime or other misconduct• Other security and risk management purposes	<ul style="list-style-type: none">• To comply with a legal obligation• To protect the vital interests• Legitimate interest (to manage the workforce and security)
Personal data processed on our IT systems	<ul style="list-style-type: none">• For the conduct of the business	<ul style="list-style-type: none">• Performance of a contract• Legitimate interest (to manage business processes and systems)

Section 4. What Are Caterpillar's Lawful Bases For Processing Your Personal Information?

We will only use your personal information when the law allows us to. Most commonly, we will rely on one or more of the following bases for processing your personal information:

- Where it is necessary for the performance of a contract we have entered into with you.
- Where it is necessary in order to comply with a legal or regulatory obligation.
- Where it is necessary for our legitimate interests (or those of a third party) and your interests and fundamental rights do not override those interests.

We may also use your personal information in the following situations, which are likely to be rare:

- Where we need to protect your interests (or someone else's interests).
- Where it is needed in the public interest or for official purposes.
- Where we have obtained your prior consent (this is only used in relation to voluntary processing and is not used for processing that is necessary or obligatory).

We will rely on legitimate interests as the lawful basis for processing the categories of personal information set out below and in each case, we have identified the legitimate interest upon which we rely. (We also identify supplemental bases for processing certain categories of information where warranted.)

Section 5. What Are Special Categories Of Personal Information Used For At Caterpillar?

We will use your special categories of personal information for the following purposes:

- Information relating to leaves of absence, which may include sickness absence or family related leaves, to comply with employment and other laws.
- Information about your physical or mental health, or disability status, to ensure your health and safety in the workplace and to assess your fitness to work, to provide appropriate workplace adjustments, to monitor and manage sickness absence and to administer benefits.
- Information related to trade union affiliation to facilitate dues collection, maintain contact lists, and perform other business-related activities.
- Information related to ethnicity and/or nationality, to comply with employment and other laws.
- Information related to biometric data, to identify or authenticate users and for access control purposes.
- Information related to employment-related background checks, to evaluate and select individuals for a role within Caterpillar.

We may process special categories of personal information (as defined above) because we have a lawful basis for doing so (as set out above) and because:

- In limited circumstances, we have your explicit written consent;
- We need to carry out obligations or exercise specific rights in the field of employment and social security law and in line with our Global Data Privacy Statement;
- Where it is needed in the public interest, such as for equal opportunities monitoring and in line with our Global Data Privacy Statement; or
- Where it is needed to assess your working capacity on health grounds, subject to appropriate confidentiality safeguards.

Less commonly, we may process this type of information where it is needed in relation to legal claims or where it is needed to protect your interests (or someone else's interests) and you are not capable of giving your consent, or where you have already made the information public. We may also process such information in the course of legitimate business activities with the appropriate safeguards.

Section 6. When Is Automated Decision Making Used?

Caterpillar does not regularly and systematically perform automated decision making producing a legal effect concerning individuals or that would have a similarly significant effect. In the event that you are interacting with a Caterpillar company that is performing such automated decision making you should receive a specific notice that outlines the details of the automated decision making.

Under European data protection laws (and subject to the manner in which they and other laws and regulations apply to and must be complied with by Caterpillar), you have the right to object to automated decision making using your personal information.

Section 7. Does Caterpillar Need Your Consent?

In limited circumstances, we may approach you for your written consent to allow us to process certain particularly sensitive data. If we do so, we will provide you with details of the information that we would like and the reason we need it, so that you can carefully consider whether you wish to consent.

You should be aware that it is not a condition of your contract with us that you agree to any request for consent from us.

Section 8. What If You Fail To Provide Your Personal Information?

If you fail to provide certain information when requested, we may not be able to perform the contract we have entered into with you (such as paying you or providing a benefit), or we may be prevented from complying with our legal or regulatory obligations (such as ensuring your health and safety).

Section 9. What If The Purpose For Which Caterpillar Collected The Personal Information Changes?

We will only use your personal information for the purposes for which we collected it, unless we reasonably consider that we need to use it for another reason and that reason is compatible with the original purpose. If we need to use your personal information for an unrelated purpose, we will notify you and we will explain the legal basis which allows us to do so.

Please note that we may process your personal information without your knowledge or consent, in compliance with the above rules, where this is required or permitted by law.

Section 10. Will Caterpillar Share Your Personal Information?

We may have to share your data with Third Parties (as defined below), including third-party service providers and Caterpillar.

We may transfer your personal information outside the European Union (“EU”). If we do, you can expect a similar degree of protection with respect to your personal information.

Section 11. Which Third Parties May Process Your Personal Information?

“Third Parties” includes third-party service providers (including contractors, designated agents, insurers and insurance brokers) and other entities of Caterpillar. Examples include:

- Vendors, service providers, and other partners who support our business, such as providing technical infrastructure services;
- Law enforcement or other government agencies;
- Subsidiaries, affiliates and other entities controlled by Caterpillar;
- Other third-party service providers that process personal information on behalf of Caterpillar; and
- An acquiring entity (or an entity that is interested in acquiring) in the event that Caterpillar divests (or is considering divesting) a portion of its business.

Section 12. When Might Caterpillar Share Your Personal Information Within Caterpillar?

We will share your personal information within Caterpillar as part of our regular business and reporting activities, in the context of a business reorganization or group restructuring exercise, for system maintenance support, for hosting of data and for other legitimate business reasons. Examples include:

- payroll;

- benefits provision and administration (including pensions);
- occupational health or medical assessments regarding your fitness to work and health and safety (e.g. work station assessments);
- insurance claims and notifications;
- provision and administration of recruitment assessments, training and professional development;
- library and research services such as subscriptions and memberships;
- building security access and maintenance;
- travel providers;
- telecommunications and messaging services such as our business continuity emergency notification system;
- hard copy archiving; and
- IT services.

Section 13. Why Might Caterpillar Share Your Personal Information With Third Parties?

We will share your personal information with Third Parties for the following reasons:

- In order to comply with a legal or regulatory obligation, where such disclosure is required by a regulatory or supervisory authority, the police or a court of competent jurisdiction;
- Where it is necessary to administer the contract, working relationship and any associated benefits with or for you;
- For the purposes of auditing, insuring and in the course of seeking advice with regards to our business operations and claims handling; and
- Where we have another legitimate interest in doing so.

Section 14. When Would Caterpillar Transfer Personal Information Outside Of The EU?

The personal information that we collect about you may be transferred to, and stored at, one or more countries outside the European Economic Area (“**EEA**”). It may also be processed by staff operating outside the EEA who work for Caterpillar or for our third-party service providers. In such cases, we will take appropriate steps to ensure an adequate level of data protection of the recipient as required under the GDPR and as described in this document. If we cannot ensure such an adequate level of data protection, your personal data will only be transferred outside the EEA if you have given your prior consent to the transfer.

Section 15. What Security Measures Are In Place To Protect Personal Information?

We have put in place appropriate security measures to protect the security of your personal information and provide the appropriate level of security for the risk, including measures designed to prevent your personal information from being accidentally lost, used or accessed in an unauthorized way, altered, or disclosed. Details of those measures are available on request. In addition, we limit access to your personal information to those employees, agents, contractors and other third parties who have a business need to know.

We have put in place procedures to deal with any suspected data security breach and will notify you and any applicable regulator of a suspected breach where we are legally required to do so.

Section 16. How Long Will Caterpillar Retain Personal Information?

We will only retain your personal information for as long as necessary to fulfill the purposes for which it was collected, including for the purposes of satisfying any legal, regulatory, accounting, or reporting requirements. Details of retention periods for different aspects of your personal information are available in our data retention policy which is available from the HR department. To determine the appropriate retention period for personal information, we consider the amount, nature, and sensitivity of the personal information, the potential risk of harm from unauthorized use or disclosure of your personal information, the purposes for which we process your personal data and whether we can achieve those purposes through other means, and the applicable legal requirements.

Once you are no longer an employee, worker or contractor of Caterpillar, we will retain and securely destroy your personal information in accordance with our data retention policy.

Section 17. What Is Your Duty To Inform Caterpillar Of Changes To Your Personal Information?

It is important that the personal information we hold about you is accurate and current. Please contact your Human Resources representative for the proper procedure for informing us of any necessary changes to your personal information that we possess.

Section 18. What Are Your Rights Related To Your Personal Information?

Under certain circumstances, by law you have the right to:

- **Request access** to your personal information (commonly known as a “data subject access request”). This enables you to receive a copy of the personal information we hold about you and to check that we are lawfully processing it.
- **Request correction** of the personal information that we hold about you. This enables you to have any incomplete or inaccurate personal information we hold about you corrected.
- **Request erasure** of your personal information. This enables you to ask us to delete or remove personal information where there is no good reason for us continuing to process it. You also have the right to ask us to delete or remove your personal information where you have exercised your right to object to processing (see below).
- **Object to processing** of your personal information where we are relying on a legitimate interest (or those of a third party) and there is something about your particular situation which makes you want to object to processing on this ground. You also have the right to object where we are processing your personal information for direct marketing purposes.
- **Request the restriction of processing** of your personal information. This enables you to ask us to suspend the processing of personal information about you, for example if you want us to establish its accuracy or the reason for processing it.
- **Request the transfer** of your personal information to another party.
- **Lodge a complaint** with the applicable supervisory authority with regard to our processing of your personal information.

If you want to review, verify, correct or request erasure of your personal information, object to or restrict the processing of your personal information, or request that we transfer a copy of your personal information to another party, please contact your local, regional or corporate Human Resources representative, or dataprivacy@cat.com for the proper procedure.

You will not have to pay a fee to access your personal information (or to exercise any of the other rights). However, we may charge a reasonable fee if your request for access is clearly unfounded or excessive. Alternatively, we may refuse to comply with the request in such circumstances.

Section 19. What Might Caterpillar Need From You?

We may need to request specific information from you to help us confirm your identity and ensure your right to access the personal information (or to exercise any of your other rights). This is another appropriate security measure to ensure that personal information is not disclosed to any person who has no right to receive it.

Section 20. What Is Your Right To Withdraw Consent?

In the limited circumstances where you may have provided your consent to the collection, processing and transfer of your personal information for a specific purpose, you have the right to withdraw your consent for that specific processing at any time. To withdraw your consent, please contact your local, regional or corporate Human Resources representative. Once we have received notification that you have withdrawn your consent, we will no longer process your personal information for the purpose or purposes you originally agreed to, and unless we have another lawful basis for continuing to process your personal information, we will dispose of it securely.

Section 21. What If There Are Changes To This Document?

We reserve the right to update this Global Privacy Statement and this appendix at any time, and we will provide you with updated documents when we make any substantial updates. We may also notify you in other ways from time to time about the processing of your personal information.

LEGAL ENTITIES

LEGAL ENTITY NAME

LEGAL ENTITY ADDRESS

COUNTRY NAME

LEGAL ENTITY NAME	LEGAL ENTITY ADDRESS	COUNTRY NAME
Caterpillar Inc.	100 NE Adams Street, Peoria, Illinois 61629, United States	United States
Caterpillar (U.K.) Limited	Peckleton Lane, Desford Leicester LE9 9JT, England & Wales	United Kingdom
Anchor Coupling Inc.	5520 13th Street, Menominee, Michigan, 49858-1014, United States	United States
Asia Power Systems (Tianjin) Ltd.	No 2 Xier Road, Tianjin Port Free Trade Zone, Tianjin 300456, Peoples Republic of China	China
AsiaTrak (Tianjin) Ltd.	169, HaiBin Jiu Road, Tianjin Port Free Trade Zone, Tianjin 300456, Peoples Republic of China	China
Banco Caterpillar S.A.	Av. Dr. Chucri Zaidan, 1240, Golden Tower Building - 17th. Walking - Chácara Santo Antônio, São Paulo - SP, 04709-111, Brazil.	Brazil

Bucyrus (Huainan) Machinery Co., Ltd. (CGM HK 60%)	Huainan Economical & Technical Development Zone, Huainan, Peoples Republic of China	China
Bucyrus International (Chile) Limitada	Av. El Bosque Norte #0177, Oficina 802, P.O. Box 7550100, Las Condes Santiago 6650115, Chile	Chile
Bucyrus International (Peru) S.A.	Av. Santa Cruz 830, of. 502, Miraflores 18, Peru	Peru
Cat Rental Kyushu Ltd.	6-1, Harisurihigashi 3- chome, Chikushino-shi Fukuoka-ken 818- 0025, Japan	Japan
Caterpillar (Africa) (Proprietary) Limited	Anvil Road, PO Box 197, Isando 1600, South Africa	South Africa
Caterpillar (China) Financial Leasing Co., Ltd.	1701 Caterpillar Tower, 8 Wangjing Street, Beijing Chaoyang District 100102 (Peoples Republic of China)	China
Caterpillar (China) Investment Co., Ltd.	Rm. 1601 Caterpillar Tower, No. 8 Wangjing Street, Beijing 100102, Peoples Republic of China	China
Caterpillar (China) Machinery Components Co., Ltd.	No. 16 Xinrong Road, Wuxi National High- tech Industrial Development Zone, Wuxi, Jiangsu 2140128,	China

Peoples Republic of
China

Caterpillar (Langfang) Mining Equipment Co., Ltd.	No. 22 Jinyuandongdao, Economic Development Zone Langfang Hebei Province, Peoples Republic of China	China
---	---	-------

Caterpillar (Newberry)	284 Mawsons Way, Newberry, South Carolina 29108, United States	United States
---------------------------	---	------------------

Caterpillar (NI) Limited	Old Glenarm Road, Co Antrim, Larne BT40 1EJ, Northern Ireland	Northern Ireland
-----------------------------	---	---------------------

Caterpillar (Qingzhou) Ltd.	No. 12999 Nanhuan, Qingzhou, Shandong Province 262500, Peoples Republic of China	China
--------------------------------	--	-------

Caterpillar (Shanghai) Trading Co., Ltd.	A1225, 12th Floor, No 188, Yesheng Road, Pudong New District, Shanghai 201308, Peoples Republic of China	China
--	---	-------

Caterpillar (Suzhou) Co., Ltd.	No. 58 Qi Ming Road Export Processing Zone B, Suzhou Industrial Park, Suzhou Jiangsu 215121, Peoples Republic of China	China
--------------------------------------	--	-------

Caterpillar (Suzhou) Logistics Co., Ltd.	Warehouse No. C22, Suzhou GLP Park, No. 152 Qian Ren Street, Wei Ting Town, Suzhou Industrial	China
--	---	-------

Park, Suzhou, angsu
Province 21512,
Peoples Republic of
China

Caterpillar
(Thailand)
Limited

1760 Sukhumvit 52-
54, Bangchak Sub-
District, Prakanong
District, Bangkok
Metropolis 10260,
Thailand

Thailand

Caterpillar
(Tongzhou) Co.,
Ltd.

No. 18 Zhusong Road,
Xitong Technology
Industrial Zone,
Tongzhou District,
Nantong City, Jiangsu,
Peoples Republic of
China

China

Caterpillar
(Wujiang) Ltd.

South District of
Jinshanjiao
Development Zone,
Xuzhou Jiangsu
221004, Peoples
Republic of China

China

Caterpillar
(Zhengzhou) Ltd.

Dongzhuang Village,
Guangwu Town,
Xingyang City,
Zhengzhou, Henan
Province, Peoples
Republic of China

China

Caterpillar Asia
Pte. Ltd.

7 Tractor Road,
Singapore 627968,
Singapore

Singapore

Caterpillar
Belgium S.A.

1 Avenue des Etats
Unis, Gosselies B-
6041, Belgium

Belgium

Caterpillar Brasil
Comercio de
Maquinas e
Pecas Ltda.

Rodovia Luiz de
Queiroz, no number,
Buildings AS, Room B,
and L1, Column B04,

Brazil

Unileste District,
Piracicaba Sao Paulo
13420-900, Brazil

Caterpillar Brasil Ltda.	Rodovia Luiz de Queiróz , km 157, s/n, Distrito Unileste, Piracicaba Sao Paulo 13420-900, Brazil	Brazil
--------------------------	--	--------

Caterpillar Castings Kiel GmbH	Falckensteiner Str. 2, Kiel 24159, Germany	Germany
--------------------------------	--	---------

Caterpillar Centro de Formacion, S.L.	Finca la Candelaria, Santa Rosalia-Maqueda, Malaga E 29591, Spain	Spain
---------------------------------------	---	-------

Caterpillar Commercial Northern Europe Limited	Peckleton Lane, Desford, Desford LE9 9JT, England & Wales	United Kingdom
--	---	----------------

Caterpillar Commercial S.A.R.L.	84 Rue Charles Michels, Hall C, Saint-Denis 93200, France	France
---------------------------------	---	--------

Caterpillar Commercial Services S.A.R.L.	40 avenue Leon Blum, Grenoble Cedex 9 38100, France	France
--	---	--------

Caterpillar Distribution Services Europe B.V.B.A.	Humbeeksesteenweg 98, Grimbergen B-1850, Belgium	Belgium
---	--	---------

Caterpillar East Japan Ltd.	32-2, Honcho 1 Chome, Nakano-ku, Tokyo 1640012, Japan	Japan
-----------------------------	---	-------

Caterpillar Emissions Solutions Inc.	2600 Camino Entrada, Santa Fe, New Mexico 87507, United States	United States
--------------------------------------	--	---------------

Caterpillar Energy Solutions Asia Pacific Pte. Ltd.	11 Kian Teck Road, Singapore 628768, Singapore	Singapore	
Caterpillar Energy Solutions GmbH	Carl-Benz-Str. 1, 68167, Mannheim, Germany	Germany	Functionaris voor gegevensbescherming: Beatrice Brauchler (DPO)
Caterpillar Energy Solutions Inc.	1750 Breckinridge Parkway, Ste 500, Duluth, Georgia, 30096, United States	United States	
Caterpillar Energy Solutions, S.A.	Avenida de los Artesanos 50, 28760, Tres Cantos, Madrid, Spain	Spain	
Caterpillar Energy Systems Technology (Beijing) Co., Ltd. Formerly known as MWM (Beijing) Co., Ltd.	CES (Beijing) Co., Ltd., Room 2-02, CITIC Building Tower A No. 19 Jianguomen Wai Street, Chaoyang District, Beijing 100004, Peoples Republic of China	China	
Caterpillar Finance France S.A.	84 Rue Charles Michels, Saint Denis Cedex 93284, France	France	
Caterpillar Finance Kabushiki Kaisha	SBS Tower 14F, 4-10-1 Yoka, Setagaya-ku, Tokyo 158-0097, Japan	Japan	
Caterpillar Financial Commercial Account Corporation	2120 West End Avenue, Nashville Tennessee 37203-0001	United States	

Caterpillar Financial Corporacion Financiera, S.A., E.F.C.	Via de las dos Castillas No 33, 4th Floor, Edificio Atica 6, 28224 Pozuelo de Alarcon, Madrid 28000, Spain	Spain
Caterpillar Financial Kazakhstan Limited Liability Partnership	69, Tolebi str., Almaty (Kazakhstan)	Kazakhstan
Caterpillar Financial Leasing (Shanghai) Co., Ltd.	Unit 251, Floor 3, A5 Building, Shanghai Pilot Free Trade Zone, China	China
Caterpillar Financial New Zealand Limited	Level 13, 34 Shortland Street, Auckland 1150, New Zealand	New Zealand
Caterpillar Financial Nordic Services AB	PO Box 115, Svardvagen 3B, 194 22 Upplands, Vasby 660 57, Sweden	Sweden
Caterpillar Financial Renting S.A.	Vía de las Dos Castillas, No. 33, Edificio Ática 6, Planta 4a, 28224 Pozuelo de Alarcón (Madrid), Spain	Spain
Caterpillar Financial SARL	Muehlebachstrasse 43, CH-8008, Zurich 8008 Switzerland	Switzerland
Caterpillar Financial Services (Dubai) Limited	Dubai International Financial Centre (DIFC), The Gate Village, Building 5, Level 2 PO Box	United Arab Emirates

506590, Dubai, United
Arab Emirates

Caterpillar
Financial
Services (Ireland)
p.l.c.

Riverside One, Sir
John Rogerson's
Quay, Dublin 2,
Ireland

Ireland

Caterpillar
Financial
Services (UK)
Limited

The Phoenix Building,
Central Boulevard,
Blythe Valley Park,
Solihull B90 8BG,
England & Wales

United
Kingdom

Caterpillar
Financial
Services
Argentina S.A.

Butty 275, Piso 11,
Ciudad Autonoma de
Buenos Aires, Buenos
Aires C1043AAQ,
Argentina

Argentina

Caterpillar
Financial
Services Asia
Pte. Ltd.

14 Tractor Road,
Singapore 627973,
Singapore

Singapore

Caterpillar
Financial
Services Belgium
S.P.R.L.

Brusselsesteenweg
340, 3090 Overijse,
Belgium

Belgium

Caterpillar
Financial
Services
Corporation

2120 West End
Avenue, Nashville,
Tennessee 37203-
0001, United States

United
States

Caterpillar
Financial
Services CR,
s.r.o.

Lipova 72,
Modletice 251 70,
Czech Republic

Czech
Republic

Caterpillar
Financial
Services GmbH

Lise-Meitner, Street 3,
Ismaning D-85737,
Germany

Germany

Caterpillar Financial Services Limited Les Services Financiers Caterpillar Limitee	3457 Superior Court, Unit 2, Oakville Ontario L6L 0C4, Canada	Canada
Caterpillar Financial Services Malaysia Sdn. Bhd.	No. 1 Jalan Puchong, Perindustrian Puchong Utama, Sleangor 47100, Malaysia	Malaysia
Caterpillar Financial Services Netherlands B.V.	Rondebeltweg 41, Almere 1329 BP, Netherlands	Netherlands
Caterpillar Financial Services Norway AS	Cort Adellers gate 16, P.O. Box 1388 Vika, Oslo 0114, Norway	Norway
Caterpillar Financial Services Philippines Inc.	#13 Economia Street, 1110 Bagumabayan, Quezon City Metro Manila 1100, Philippines	Philippines
Caterpillar Financial Services Poland Sp. z o.o.	51, Prosta Street, Warsaw 00-838, Poland	Poland
Caterpillar Financial Ukraine LLC	34 Vasilkovskaya, of. 326B, Kiev 03022 Ukraine	Ukraine
Caterpillar Fluid Systems S.r.l.	Via Giobetti nr. 2/A Palazzo C, 20063 Cernusco sul Naviglio MI., Italy	Italy

Caterpillar Forest Products Inc.	100 NE Adams Street, Peoria, Illinois 61629, United States	United States	
Caterpillar France S.A.S.	40 Avenue Leon-Blum, Boite Postal 55, Grenoble Cedex 9 F-38041, France	France	
Caterpillar Global Mining America LLC	2045 West Pike Street, Houston Pennsylvania 15324-1010 United States	United States	
Caterpillar Global Mining Colombia S.A.S.	Cra. 7 No. 71-52, Torre b, Piso 9, Bogota, Colombia	Colombia	
Caterpillar Global Mining Equipamentos De Mineracao do Brasil Ltda.	Rua Maria Luiza Santiago, 200 21º andar, Belo Horizonte 30360740, Brazil	Brazil	
Caterpillar Global Mining Europe GmbH	Industriestrasse 1, Lünen D-44534, Germany	Germany	Functionaris voor gegevensbescherming: Claudia Panse (DPO)
Caterpillar Global Mining Field Services LLC	6744 S. Howell Ave., P.O. Box 267, Oak Creek, Wisconsin 53154, United States	United States	
Caterpillar Global Mining Highwall Miners LLC	351 Ragland Road, Beckley West Virginia 25801, United States	United States	
Caterpillar Global Mining HMS GmbH	Karl-Funke-Strasse 36, Dortmund 44149, Germany	Germany	Functionaris voor gegevensbescherming: Michael Jozwiak (DPO)

Caterpillar Global Mining LLC	6744 S. Howell Ave., P.O. Box 267, Oak Creek Wisconsin 53154, United States	United States
Caterpillar Global Mining Mexico LLC	10 Finegan Road, Del Rio, Texas 78840, United States	United States
Caterpillar Group Services S.A.	1 Avenue des Etats Unis, Gosselies B 6041, Belgium	Belgium
Caterpillar Hungary Components Manufacturing Ltd.	2117 Isaszeg, Hrsz, Isaszeg 0185/3, Hungary	Hungary
Caterpillar Hydraulics Italia S.r.l.	Via Andrea Costa, 73/2, Bologna 40134, Italy	Italy
Caterpillar Insurance Co. Ltd.	2120 West End Avenue, Nashville, Tennessee 37023-0001, United States	United States
Caterpillar International Finance Luxembourg, S.a.r.l.	4a, rue Henri Schnadt, Luxembourg L-2530, Luxembourg	Luxembourg
Caterpillar International Luxembourg I S.a.r.l.	4A, Rue Henri M. Schnadt, L-2530, Luxembourg	Luxembourg
Caterpillar International Luxembourg II S.a.r.l.	4A, Rue Henri M. Schnadt, L-2530, Luxembourg	Luxembourg

Caterpillar International Services del Peru S.A.	Jr. Cristóbal de Peralta Norte 820, Surco, Lima, Peru	Peru
Caterpillar Japan LLC	SBS Tower, 10-1 Yoga 4-Chome Setagaya-ku, Tokyo Tokyo 158-8530, Japan	Japan
Caterpillar Latin America Services de Panama, S. de R.L.	Business Park, Torre Oeste, Piso 1, Esquina de la Ave Principal y Ave La Rotonda, Costa del Este, Ciudad de Panama, Panama	Panama, Republic of
Caterpillar Latin America Servicios de Chile Limitada	Rosario Norte 407, Piso 14, Las Condes Santiago Chile	Chile
Caterpillar Latin America Support Services, S. DE R.L.	Edificio Customer Center, Caterpillar Campus, Carretera Conectora Oeste, Area Panama-Pacifico, Veracruz, Distrito de Arraijan, Provincia de Panama, Panama	Panama, Republic of
Caterpillar Leasing (Thailand) Limited	1760 Sukhumvit Road, Bangkok Sub-district, Phrakanong District, Bangkok 10150, Thailand	Thailand
Caterpillar Leasing Chile, S.A.	Rosario Norte 407, Piso 14, Las Condes, 7561156, Santiago, Chile	Chile

Caterpillar Logistics (Shanghai) Co. Ltd.	500 Ton Shun Avenue, Shanghai, Peoples Republic of China	China
Caterpillar Logistics (UK) Limited	Eastfield, Peterborough PE1 5FQ, England & Wales	United Kingdom
Caterpillar Logistics Inc.	100 NE Adams Street, Peoria, Illinois 61629, United States	United States
Catepillar Luxembourg S.a.r.l.	4a, rue Henri Schnadt, Luxembourg L-2530, Luxembourg	Luxembourg
Caterpillar Marine Asia Pacific Pte. Ltd.	7 Tractor Road, Singapore 627968, Singapore	Singapore
Caterpillar Marine Power UK Limited	22 Cobham Road, Ferndown Industrial Estate Co Dorset, Wimborne BH21 7PG, England & Wales	United Kingdom
Caterpillar Marine Trading (Shanghai) Co., Ltd.	333 Futexi First Road, Room B8 4th Floor Changcheng Building, Waigaoqiao FTZ 200131, Peoples Republic of China	China
Caterpillar Maroc SARL	Route Desserte des Usines, Autoroute Casablanca-Rabat (km 11,6) Ain Sebaa, Casablanca 20250, Morocco	Morocco
Caterpillar Materiels Routiers SAS	21 Avenue Jean Jaures, BP 2F, Rantigny F-60290, France	France

Caterpillar Mining Chile Servicios Limitada	Rosario Norte 407, piso 14 Las Condes, Santiago, Chile	Chile
Caterpillar Motoren (Guangdong) Co. Ltd.	Shizhou Industrial Estate Chencun Town, Shunde District, Foshan City Guangdong 528314, Peoples Republic of China	China
Caterpillar Motoren GmbH & Co. KG	Falckensteiner Strasse 2, Kiel 24159, Germany	Germany
Caterpillar Motoren Henstedt Ulzburg GmbH	Rudolf-Diesel-Str. 5-9, Henstedt-Ulzburg 24558, Germany	Germany
Caterpillar Motoren Rostock GmbH	Werftallee 3, Rostock 18119, Germany	Germany
Caterpillar of Canada Corporation	3700 Steeles Avenue West, Suite 902, Woodbridge Ontario L4L 8K8, Canada	Canada
Caterpillar Operator Training Ltd.	3700 Tana, Chuo-ku, Sagamihara-shi, Kanagawa-ken 252-5292, Japan	Japan
Caterpillar Panama Services S.A.	Edificio Regional Shared Services Center, Caterpillar Campus, Carretera Conectora Oeste, Area Panama-Pacifico, Veracruz, Distrito de Arraijan, Provincia de Panama, Panama	Panama, Republic of

Caterpillar Paving Products Inc.	9401 85th Avenue N, Brooklyn Park, Minnesota 55445- 2199, United States	United States
Caterpillar Paving Products Xuzhou Ltd.	South District of Jinshanqiao Development Zone, Xuzhou Jiangsu Province 221004, Peoples Republic of China	China
Caterpillar Poland Sp. z o.o.	U1 Lubielski 74, 23 300 Janow, Lubelski 23-300, Poland	Poland
Caterpillar Prodotti Stradali S.r.l.	Via IV Novembre 2, Minerbio Bologna I-40061, Italy	Italy
Caterpillar Propulsao Maritima do Brasil Ltda	Av. Marechal Camara 160, CONJ 1607, Rio de Janeiro 20.020-080 Centro, Brazil	Brazil
Caterpillar Propulsion AB	Tarnvagen 15, Hono 47540, Sweden	Sweden
Caterpillar Propulsion International Trading (Shanghai) Co., Ltd.	6F, Lei Shing International Plaza No. 1319, West Yan'an Road, Shanghai 20050, Peoples Republic of China	China
Caterpillar Propulsion LQ Pte Ltd	85 Tuas South Avenue 1, . 637419, Singapore	Singapore
Caterpillar Propulsion Production AB	Langesand 1, Box 1005, Ockero 47522, Sweden	Sweden

Caterpillar Propulsion Pte Ltd	86 Tuas South Avenue 1, . 637419, Singapore	Singapore
Caterpillar Propulsion Singapore Pte Ltd	87 Tuas South Avenue 1, . 637419, Singapore	Singapore
Caterpillar R & D Center (China) Co., Ltd.	Lot B6-D, National High-Tech Development Zone, Wuxi, Jiangsu 214028, Peoples Republic of China	China
Caterpillar Reman Powertrain Indiana LLC	100 NE Adams Street, Peoria, Illinois 61629, United States	United States
Caterpillar Remanufacturing Services (Shanghai) Co., Ltd.	Plant 3#, Lingang Industrial Park, 1555 Cenglin Road, Pudong, Shanghai 201306, Peoples Republic of China	China
Caterpillar Remanufacturing Services Radom Poland Sp. z o.o.	ul Kozienicka 97, 26-600, Radom 26-610, Poland	Poland
Caterpillar Renting France S.A.S.	84 Rue Charles Michels, Saint Denis Cedex 93284, France	France
Caterpillar SARL	Route de Frontenex 76, 1208 Geneva, Switzerland	Switzerland
Caterpillar Services Germany GmbH	Falckensteiner Str. 2, 24159 Kiel, Germany	Germany

Caterpillar Servicios Limitada	Rosario Norte 407, Piso 14, Las Condes, 7561156, Santiago, Chile	Chile
Caterpillar Servizi Italia Srl	Via IV Novembre 2, Minerbio 40061, Italy	Italy
Caterpillar Shrewsbury Limited	Lancaster Road, Shrewsbury, Shropshire SY1 3NX, England & Wales	United Kingdom
Caterpillar Skinningrove Limited	Skinningrove Works, Carlin How, Saltburn By The Sea, Cleveland England TS13 4EE, England & Wales	United Kingdom
Caterpillar Solution Engineering Ltd.	3700 Tana, Chuo-ku, Sagamihara-shi, Kanagawa-ken 252-5292, Japan	Japan
Caterpillar Southern Africa (Pty) Ltd.	Gallagher Convention Centre, 19 Richards Road, Halfway House, Midrand 1685, South Africa	South Africa
Caterpillar Special Services Belgium S.P.R.L.	Avenue Des Etats Unis 1, Gosselies 6041, Belgium	Belgium
Caterpillar Tianjin Ltd.	No. 25, Huanhe West Road, Tianjin Airport Economy Area, Tianjin 300308, Peoples Republic of China	China
Caterpillar Tohoku Ltd.	1-8, Kamisugi 2-chome, Aoba-ku,	Japan

Sendai-shi, Miyagi-
ken 9800011, Japan

Caterpillar
Transmissions
France S.A.R.L. 445 Boulevard de
l'Europe, Artoipole,
Monchy-le-
Preux 62118, France France

Caterpillar
Tunneling
Canada Holding
Ltd. 3190 Orlando Drive,
Unit A, Mississauga
Ontario L4V 1R5,
Canada Canada

Caterpillar
Undercarriage
(Xuzhou) Co.,
Ltd. No 8 Jinchuan Road,
Xuzhou Economic and
Technological
Development Zone,
Xuzhou, Peoples
Republic of China China

Caterpillar Used
Equipment
Services Inc. 100 NE Adams Street,
Peoria, Illinois 61629,
United States United
States

Caterpillar West
Japan Ltd. 1-23, Shimoi-cho,
Ibaraki-shi, Osaka-fu
5670066, Japan Japan

Caterpillar Work
Tools B.V. 400 Work Tool Drive,
Wamego Kansas
66547-1299, United
States Netherlands

Caterpillar Work
Tools, Inc. 400 Work Tool Drive,
Wamego Kansas
66547-1299, United
States United
States

Caterpillar
Xuzhou Ltd. South District of
Jinshanqiao
Development Zone,
Xuzhou Jiangsu
221004, Peoples
Republic of China China

Centre de Distribution de Wallonie SPRL (Cediwal SPRL)	Rue L. Bleriot 9, Gosselies Hainaut 6041, Belgium	Belgium
ECM S.p.A.	Serravalle Pistoiese (PT), Via IV Novembr 29 Frazione Cantagrillo	Italy
EDC European Excavator Design Center GmbH & Co. KG	Karl-Rapp Strasse 1, Wackersdorf 92442, Germany	Germany
Leading Edge Hydraulic Systems Co., Ltd.	No. 16 Xinrong Road, National Hi-tech Industry Development Zone, Wuxi Jiangsu Province 214028, Peoples Republic of China	China
Mec-Track S.r.l.	Via Muzza Spadetta 30, Bazzano Bologna I-40053, Italy	Italy
Motoren Steffens GmbH	Geefacker 63, Kleve 47533, Germany	Germany
MWM Austria GmbH	Munchner Strabe 22, A-6130 Schwaz, Austria	Austria
MWM Benelux B.V.	Soerweg 13, 3088 GR, Rotterdam, Netherlands	Netherlands
MWM Energy Hungaria Kft.	Ezred u. 1-3. H-1044, Budapest, Hungary	Hungary
MWM France S.A.S.	99 Avenue Louis Roche	France

Gennevilliers 92230
(France)

P. T. Solar Services Indonesia	Landmark Center, Tower A 10th Floor, Jl. Jendral Sudirman No. 1, Jakarta 12910, Indonesia	Indonesia
Perkins Engines (Asia Pacific) Pte Ltd	7 Tractor Road, Singapore 627968, Singapore	Singapore
Perkins Engines Company Limited	Eastfield, Peterborough PE1 5FQ, England & Wales	United Kingdom
Perkins Engines, Inc.	11 East Chase Street, Baltimore, Maryland 21202, United States	United States
Perkins Motores do Brasil Ltda.	Rua Joao Che de 2489 CIC, Curitiba Parana 81170-220, Brazil	Brazil
Perkins Power Systems Technology (Wuxi) Co., Ltd.	No.8 Xinchang South Road, Wuxi National Hi- tech Development Zone, Jiangsu, Peoples Republic of China	China
Perkins Shibaura Engines (Wuxi) Co., Ltd.	No. 10 South Xinchang Road, Wuxi Jiangsu 214142, Peoples Republic of China	China
Progress Rail Equipamentos e Servicos Ferroviarios Ltda.	Rua Georg Rexroth, 609, Bloco D. conjuntos 1 e 2, Jardim Padre Anchieta, Diadema Sao Paulo 09951-270, Brazil	Brazil

Progress Rail Locomotivas (do Brasil) Ltda.	City of Sete Lagoas, State of Minas Gerais at Street Padre Tercisio Gonclaves s/n, Cida Deus 35.703-387 (Brazil)	Brazil
PT Caterpillar Finance Indonesia	Jl. Raya Naragong KM., 19 Cileungsi Bogor, Jawa Barat 16820, Indonesia	Indonesia
PT. Bucyrus Indonesia	Beltway Office Park, Building C, Level 3, Unit 03-02, Jl. TB Simatupang No. 41, Kelurahan Ragunan Kecamatan, Pasar Minggu Jakarta Selatan 12550, Indonesia	Indonesia
PT. Caterpillar Indonesia	Jl. Raya Naragong KM. 19 Cileungsi Bogor Jawa Barat 16820 Indonesia	Indonesia
PT. Caterpillar Indonesia Batam	Jl. Brigjen Katamso KM. 6, Kel. Tanjung Uncang, Batam, Indonesia	Indonesia
PT. Caterpillar Remanufacturing Indonesia	Jl. Raya Naragong KM, 19 Cileungsi Bogor, Jawa Barat 16820, Indonesia	Indonesia
Pyroban (Suzhou) Safety Systems Co., Ltd.	Area B2 Section A, Weiting Industry Zone, No. 9 Weixin Road, Suzhou Industrial Park, Suzhou, Peoples Republic of China	China

Pyroban Benelux B.V.	24-26 3341 Lt Postbus, AE Hendrik Ido Ambacht P Iva, NL 008884924B01, Netherlands	Netherlands
Pyroban Group Limited	Endeavor Works, Dolphin Road, Shoreham-By-Sea, West Sussex BN43 6QG, England & Wales	United Kingdom
SCM Singapore Holdings Pte Ltd	7 Tractor Road, Singapore 048620, Singapore	Singapore
Solar Turbines (Beijing) Trading & Services Co., Ltd.	Room 2103 China Life Tower, No. 16, Chaowaidajie, Chaoyang District, Beijing 100020, Peoples Republic of China	China
Solar Turbines (Thailand) Ltd.	No.5 Ramkhumheang Road, Huamark, Bangkapi, Bangkok 10240, Thailand	Thailand
Solar Turbines Canada Ltd. / Ltee	2510 84th Avenue, Edmonton, Alberta T6P, 1k3, Canada	Canada
Solar Turbines Central Asia Limited Liability Partnership	38 Tulebaev Street, Almaty 050004, Kazakhstan	Republic of Kazakhstan
Solar Turbines EAME s.r.o.	Bucharova 1281/2, 158 00 Prague 5 Czech Republic	Czech Republic

Solar Turbines Egypt Limited Liability Company	44 Palestine Street, New Maadi Vairo, Cairo, Egypt	Egypt
Solar Turbines Europe S.A.	Avenue des Etats Unis 1, Gosselies B 6041, Belgium	Belgium
Solar Turbines Incorporated	2200 Pacific Highway, San Diego, California 92101, United States	United States
Solar Turbines International Company	2200 Pacific Highway, San Diego, California 92101, United States	United States
Solar Turbines Malaysia Sdn Bhd	Lot 6.05, Level 6, KPMG Tower, 8 First Avenue, Bandar Utama, Petaling Jaya Selangor Darul Ehsan 47800, Malaysia	Malaysia
Solar Turbines Middle East Limited	PO Box 9275, c/o Al Tammi & Company, Advocates and Legal Consultants, 15th Floor, the Maze Tower, Dubai, UAE	United Arab Emirates
Solar Turbines Services Company	2200 Pacific Highway, San Diego, California 92101, United States	United States
Solar Turbines Services Nigeria Limited	Plot 133, Trans Amadi Industrial Estate, Redeem Road, Oginigba Port Harcourt Nigeria	Nigeria
Solar Turbines Services of Argentina S.R.L.	Maipu 1210, Buenos Aires Argentina	Argentina

Solar Turbines Switzerland Sagl - formerly known as Turbomach S.A.	Camoagna 15, Riazzino, 6595, Switzerland	Switzerland
Solar Turbines Trinidad & Tobago Limited	48-50 Sackville Street, PO Box 75, Port of Spain, Trinidad and Tobago	Trinidad & Tobago
Solar Turbines West-Africa SARL	Face à l'entrée de Collège Victor Hugo, quartier SBOM, BP, 2765 Port-Gentil, Gabon	Gabon
Tangshan DBT Machinery Co., Ltd.	No. 2 Gangyao Road, Tangshan 63027, Peoples Republic of China	China
Tokyo Rental Ltd.	32-2, Honcho 1- chome, Nakano-ku, Tokyo 1640012, Japan	Japan
Turbinas Solar de Columbia S.A.	Calle 70 # 4-60, Bogota D.C., Colombia	Colombia
Turbinas Solar de Venezuela, C.A.	Avenida Jorge Rodriquez, Sector las Garzas, Torre B.V.C., Piso 6, Oficina 6R, Puerto La Cruz, Estado Anzoategui Venezuela	Venezuela
Turbomach Endustriyel Gaz Turbinleri Sanayi Ve Ticaret Limited	Kavacik Mah. Sehit, Tegmen Ali Yilmaz Sok. No: 13, Guven Sazak Plaza A Blok, Kat:1 TR-34810 Beykoz, Istanbul Turkey	Turkey

Turbomach France S.A.R.L.	11 rue de la Mare a Tissier, BP73, St- Pierre-Du-Perray FR- 91280, France	France
Turbomach GmbH	Weisenstrasse 10-12, Griesheim 64347, Germany	Germany
Turbomach Netherlands B.V.	Rijksstraatweg 22 G, 2171 AL Sassenheim 1329BD, The Netherlands	Netherlands
Turbomach Pakistan (Private) Limited	32-K, B-1 Gulberg II, Lahore 54000, Pakistan	Pakistan
Turbomach S.A. Unipersonal	via Campagna 15, Riazzino 6595, Switzerland	Spain
Turbomach S.r.L.	via Battistini 21A,Parma 43100 Italy	Italy
Turbomach Sp.Zo.o.	ul. Mikolowska 7, 44- 100, Gliwice, Poland	Poland
Turner Powertrain Systems Limited	Racecourse Road, West Midlands, Wolverhampton England WV6 0QT, England & Wales	United Kingdom
Kemper Valve & Fittings Corp.	3001 Darrell Road Island Lake Illinois 60042 (United States)	United States
Caterpillar Remanufacturing Drivetrain LLC - may not have employees	100 NE Adams St Peoria Illinois 61629 United States	United States

Caterpillar Global Mining Equipment LLC	3501 S. FM Hwy 1417 Denison Texas 75020 United States	United States
---	---	------------------

Caterpillar Financial Dealer Funding LLC	2120 West End Avenue Nashville Tennessee 37203 United States	United States
--	--	------------------
